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# **Early American film**

Tom Gunning

# Sarly cinema as a challenge to film history and theory

the early 1970s the study of early American mena (from its origins until about 1916) has transgreet conceptions of film history and of the relation ween theory and history. When this research began whe late 1970s film history was a neglected field. revous film historians had only limited access to films cther primary materials from the early period, and wasiy operated under implicit teleological assumpwas chronicling film's gradual technical and aesthetic naturation. Cinema's beginnings were viewed as trusture babblings, followed by precocious discovwand a growing mastery of editing and storytelling. stonens who began working in the 1970s questioned relectogical approach, benefiting from increased constraints and other primary Maiorials. These scholars abandoned the pejorative **Contactions** of describing early film as 'primitive', **Tentaining that this era possessed a different Growth to filmmaking than that of later cinema, so** Considered the norm.

Under the dominance of apparatus theory (see Lead, Part 1, Chapter 9), which marked film studies to the decade of the 1970s, film theorists tended to history with suspicion. From an amalgam of

Lacanian psychoanalysis and Althusserian critique of ideology, a systematic model of the way cinema operated had been fashioned that owed little to historical research. Film history as it had been practised was viewed as an empirical gathering of facts that could hardly shed light on the deep structures of the way the cinematic apparatus constructed its spectator as subject—a process, theorists claimed, which embodied ideologies endemic to Western thought at least since Plato. How could chronicling changes in industrial practices reveal anything of deep significance?

New approaches to early cinema emerged, however, not so much in opposition to film theory as in dialogue with it, and from a desire to test some of its propositions. Apparatus theory constructed a model of cinema based on a number of assumptions about cinematic form and text-spectator relations: the centring of the film spectator as master of a visual field and decoder of narrative puzzles, and a viewing process in which the spectator remains immobile and loses all sense of surroundings, in thrall to an illusion of reality deriving from psychological regression (Baudry 1986). Investigating early cinema, historians could ask whether these assumptions functioned during cinema's first decades.

# Early cinema as a different sort of cinema

Work on early cinema took on historical and theoretical tasks. As models of new research methods and increased rigour, Gordon Hendricks, George C. Pratt, and Jay Leyda provided inspiration for the systematic use of archives, drawing on contemporary documents and looking more thoroughly at archival films. The event which many scholars see as the origin of the rethinking of early cinema, the conference Cinema 1900-1906 (Holmann 1982), held by the International Federation of Film Archives (FIAF) in Brighton in 1978, was devised by a group of forward-looking archivists (particularly Eileen Bowser, David Francis, and Paul Spehr) to pull early films out of the vault and have them examined by scholars. In many ways the renaissance of early-film studies was begun by film archivists (Cherchi Usai 1994). Around the same time seminal works, such as Robert C. Allen's (1980) dissertation on the interrelation between vaudeville and early film, exemplified new carefully focused research projects.

Realizing that early cinema could offer new theoretical insights was primarily the inspiration of Noël Burch, whose interest in oppositional film practices led him to approach early films in a radical manner (Burch 1990). Burch located the significance of early film in its differences from the way films were made and understood within the dominant mode of filmmaking, which he termed the IMR, the institutional mode of representation, exemplified by Hollywood film, but international in scope. He described early cinema as an alternative approach, a PMR, or primitive mode of representation. The PMR consisted of a number of unfamiliar structures: a spatial approach combining frontality with non-centred composition and distant camera placement to create a 'primitive externality'; a lack of narrative coherence, linearity, and closure; and an underdevelopment of character.

Burch's view of the relation of this PMR to the later IMR was complex and ambivalent. At points, he related the different approaches of early films to the working-class background of early cinema's audience and of at least some of its showmen producers. The IMR, in contrast, introduced bourgeois values of coherence and subjectivity into this originally primitive and popular mode of entertainment. Burch raised what has remained a vexed issue in the history of early cinema: the role of class in its development and the class make-

up of its audiences. However, he stressed that his in a est in the PMR lay primarily in the light it could shed the IMR, the dominant cinema as it was described in apparatus theory. As a contrast to IMR, PMR allowe Burch to denaturalize this dominant mode, revealing as the product of historical development rather if the discovery of the natural language of cinema. teleological film history had assumed. In this respect Burch launched a strong critique of linear teleplogics film history. But he also resisted any conception of each cinema as a 'lost paradise', claiming (particularly in te later work) that early cinema was less rich and comp than IMR. For Burch an assumption of progressive development remained, and he retained the term of mitive' partly to indicate that in his view this early move remained underdeveloped. Burch's analysis of each film often does not stress its difference from the 1888 as much as the way early film techniques anticipes many of IMR's basic assumptions in a primitive fasher. He therefore saw early cinema as rehearsing a variety of elements essential to IMR and the apparatus theory of the cinema. Thus, the evolution of early cinema strong to overcome the primitive externality that make the PMR. The centred masterful spectator of apparatus theory appeared in the PMR in a number of precovers yet underdeveloped ways. This classical spectare acted as a goal which impelled the development. centred compositions and continuity editing states gies, but it also appeared in a number of seems deviant features, as later practices appeared in intertile' versions. For instance, Burch claimed that the inquent theme in trick films of a body that explodes \*\*\* fragments (as in Cecil Hepworth's Explosion of a Motor Car, 1900) anticipated the later schema of fragments tion through editing. Following from the assumptions of the apparatus theory that the cinema in its base apparatuses (the camera, the projector, and the nor theatre) reproduces the Western ideology of surformation, Burch found that early cinema already the seeds for these later structures. Although he added a historical dimension to his analysis, the determination tion of theoretical structures provided the ultimes significance of early cinema.

Burch's approach to early cinema received swift are cism from David Bordwell and Kristin Thomas (1983). The authors offered a critique of the base and teleological assumptions of traditional film histories inspired by Jean-Louis Comolli's call for a material history of film, based on discontinuities and the rather than a schema of evolution. While Comolling states are completed as the comolling states are completed as the comolling states.

that Burch attempted one, but, in their view, and that Burch attempted one, but, in their view, and that Burch attempted one, but, in their view, and that Burch attempted one, but, in their view, and that Burch attempted one, but, in their view, and their criticism targeted a cavalier attitude of the view also criticised his theoretical assumptions. The street questioned the role of working-class corns, pointing out that the first audiences for the United States were in vaudeville theatres, a criticism middle-class form, while the working-class to deon appeared only as the codes of the IMR are present in embryonic assumptions of the IMR are present in embryonic min early film.

in a key work in revisionist film history The Classical Chwood Cinema (1985), written jointly by David s<sub>ardwell</sub>, Janet Staiger, and Kristin Thompson, n empson developed a view of early film which also centuated its difference from later filmmaking prac-Maintaining that from 1917 until the 1960s Ameran mainstream commercial cinema shows a markable stability in its style and mode of production wher classical Hollywood cinema' of the title), Thompren saw the age of early cinema as a time when films were to fundamentally different as to be incompreersible' (Bordwell et al. 1985: 157). Early cinema can e inderstood as 'pre-classical', standing in varying degrees outside the codes of spatial and temporal **eations that define** the stability of the classical Hollywood film. The authors' definition of the classical sysand possibly although in some ways parallel to (and possibly streed by Burch's IMR, made little use of the apparatios theory of subject construction. Instead, Thompun placed storytelling at the centre of the classical wisem and saw primitive cinema struggling to harness \*\*\*matic space and time to this dominant function. hompson's emphasis on narrative allowed the differtice between early cinema and classical cinema to More clarity. Since the basic apparatus, the cam-<sup>473</sup>. the projector, the darkened room, was the same in seth periods, an approach founded in the ideological sifect of the basic apparatus would be hard-pressed to scover significant differences between periods.

Thompson applied the principles articulated in solvel and Thompson (1983), and investigated the assorbation between primitive cinema (she sained this term, although with misgivings) and classial Hollywood forms by investigating the economic and cultural determinants of this change. Retaining

Burch's description of the exteriority of early cinema, she related this to the dominant influence of vaudeville on early cinema both economically and as a model. Thompson claims primitive cinema transformed itself by taking up the task of storytelling, overcoming the exteriority of the vaudeville spectator and replacing it by a spectator immersed in the narrative space of the film.

My own work also defined the difference between early cinema and the later classical mode in terms of its relation to narrative. The work of my colleague and collaborator André Gaudreault, analysing the structures of early cinema through structuralist narrative theory, differentiated cinematic narrators (cinematic devices which narrated a story) and monstrators who, instead of telling a story, displayed or showed things (Gaudreault 1988, 1990). For Gaudreault, these two different functions in cinema corresponded to the narrating function of an edited sequence and the monstrative display of the single shot. Early cinema, particularly in its very earliest period in which films most often consisted of a single shot (before 1904), related more to monstration than to narration. In my work, this contrast between formal devices of storytelling and display became less a matter of a contrast between the single shot and the edited sequence than a broadly based address to the spectator in early cinema, which I termed the cinema of attractions (Gunning 1990).

While Thompson had shown that early cinema differed from the classical model primarily through its lack of narrative dominance, there remained the question of how to describe what early cinema was, rather than what it wasn't. Burch's ideas about exteriority and Gaudreault's concept of monstration were useful guides. Taking a cue from Sergei Eisenstein's theatrical work in the 1920s, I felt that the essential gesture of early cinema (which could not be described simply as an incomplete mastery of the task of storytelling) lay in its aggressive address to the spectator's attention. The spectator addressed by early cinema was very different from the spectator of classical cinema, absorbed in a coherent fictional world, attentive to character cues and immersed in following a story. The exteriority noted by Burch and Thompson corresponded to an outward address of the films themselves, a sort of hailing of the viewer, most obvious in the look at the camera and the bows and gestures directed at the audience so common in early cinema (as in such films as From Show Girl to Burlesque Queen, Biograph,

1903, or nearly any Méliès films, e.g. *The Man with the Rubber Head*, 1902) but taboo in most genres in classical cinema.

The exteriority of early cinema expresses the basis of the cinema of attractions: the act of display of something to a viewer. The attraction itself is aware of the viewer's gaze, is constructed to attract it. Rather than narrative development based on active characters within detailed fictional environments, the cinema of attractions presented a series of curious or novel views to a spectator. These views could be non-fictional actualities (current events, human oddities, natural wonders), vaudeville acts (dances, acrobatics, gags), famous fragments (peak moments from famous plays, realizations of well-known paintings), or trick films (magical transformations and illusions). In contrast to the temporal development inherent in narrative, the cinema of attractions presented bursts of interest, such as the rapid transformations in a magic film, or the succession of sights in a scenic film (Gunning 1995a). In this cinema, characterization was unimportant and the spatial and temporal relations essential to narrative development were basically irrelevant.

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Although there are differences and even contractions between these models of early cinema, they emphasize the difference between the early parous film history and the cinema which eventually bear dominant. These models were primarily focused on formal aspects of early films. Further discoveries can as historians broadened the focus from films to be contexts in which they were shown.

# From early film to early cinema; exhibitors, audiences, and the public sphere

The new generation of historians of early film now gated not only the films themselves, but also the sale they were shown and understood. This involved and to use the terms suggested by Christian Metz for early films to early cinema, the culture surrounds films, including their industry, their theatres, and the audiences. Of course, cinema culture and actual are inseparable, the one implying and enlightening other. Charles Musser's (1991) work on Edwin Part and other early American filmmakers emphasized to simply looking at archival prints of early films, we essential, was not sufficient for a full understanding early cinema. Not only editing, compositional test ques, and narrative strategies differed in early creatives classical cinema had also transformed the ways have were presented and the means audiences usual understanding them.

Research into primary sources about the present tion of early film led Musser to stress the role of the exhibitor. In cinema's first decade, particularly balls 1903, the person showing the film took over impacts roles in what is now termed post-production, when would later be under the control of film produced Since many films consisted of a single shot, the bitor assembled them into a programme. This could done with great ingenuity, joining individua in together to stress similarity or contrast, interspect other material, such as lantern slides or tectand adding music or other sound effects; and frequent narrating the whole with a spoken commentary ture. The exhibitor therefore endowed each films aesthetic effects and meanings, becoming the of the film programme (Musser 1991; Musser and son 1991). Buttressed by research into the importain this era of the film lecturer (the performer who s a commentary as the film was projected) by Gaudie

(1988), Burch (1990), Martin Sopocy (1978), and others, Musser showed that formal analysis of films alone was not sufficient for understanding the meanings and pleasures derived from them by early audiences.

In contrast to classical films, early film had a more open form. As Burch had indicated, their narratives were not as complete and finalized as the films of the IMR. However, this openness was not an avant-garde love of ambiguity. Narrative coherence was supplied in the act of reception, rather than inherent in the film itself. Filmmakers frequently relied on familiar stories or current events well known to their audiences, who could fill in gaps in the narrative or supply significance. These cultural contexts outside film—like the magiclantern narratives of fire rescues discussed by Musser (1991) which influenced Porter's Life of an American Fireman (1903), or the theatrical performances of the novel Uncle Tom's Cabin cited by Janet Staiger (1992) which contextualize Porter's 1903 film Uncle Tom's Cabin—could explain some formal differences in early films. Staiger claims that early film narratives were less divergent from classical practices than they may seem—they simply used other means to make themselves comprehensible. However, if audience foreknowledge or other extrafilmic aids did supply narrative coherence, the means of achieving it remained different from classical cinema, which supplies the necessary narrative information within the film itself. Early films seem less aberrant and irrational when foreknowledge or other aids are factored in, but their difference from later practice also becomes highlighted.

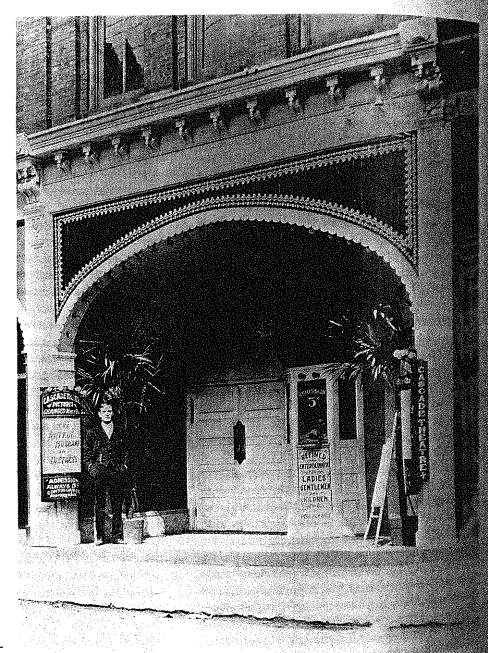
The investigation of early cinema must consider the broader cultural context in which films were made, exhibited, and understood. The importance of vaudeville for early cinema, both as an exhibition outlet and as a model, had received renewed attention. But what about the nickelodeon, the theatre of the masses, which traditional histories saw as defining the early American cinematic experience? How did the nickelodeon appear, who was its audience, and how did it relate to changes in early films? The nickelodeon era Which began in 1905, became widespread in 1906, and was ending by 1912) began with the rise of story films, while the end of that era saw the first development of classical traits such as characterization and narrative closure. Did the nickelodeon encourage the growth of story films, or, as Musser (1991) claims, were they a pre-condition for it?

The nickelodeon remains an area of controversy.

Musser has pointed out that, even before the nickelodeon, a range of contexts existed in which films were shown, including not only the middle-class vaudeville palaces, but also fairground exhibitors, travelling tent shows, sponsored entertainments in local operahouses or other public halls, educational exhibitions in schools and even churches (Musser, 1990). As Robert C. Allen (1980) found, vaudeville possessed a range of levels, moving from palaces to purveyors of 'cheap vaudeville', which also offered motion pictures at a price considerably below that of high-class vaudeville. While the audiences for motion pictures when they premièred as the latest novelties were undoubtedly middle class, patrons of all classes had seen films before 1905. But the nickelodeon, with its low admission price of 5 cents, specifically targeted new entertainment seekers, the working class, whose gains in the early twentieth century of a bit more leisure time and disposable income provided an opportunity for smalltime entertainment entrepreneurs. But were the working class the main patrons of the nickelodeon?

Doubt was cast on this traditional thesis by a number of scholars. Russell Merritt (1976), Douglas Gomery (1982), and Robert C. Allen (1983) investigated Boston and New York City and decided that the location of nickelodeons in those cities actually avoided workingclass neighbourhoods in favour of more central commercial districts, areas frequented by middle-class shoppers as well as working-class patrons. The patrons of these cheap theatres might well have been more frequently middle class than traditional histories had assumed. Further, as Merritt in particular emphasized, the nickelodeon operators wooed middle-class patrons, seeming uncomfortable with their identity as 'democracy's theatre', and anxious for middle-class respectability. But scholars have also rushed to revise these revisionists. Robert Sklar objected to Allen's and Merritt's thesis, maintaining the importance of working-class culture to the development of the nickelodeon and to our understanding of the role of film in working-class experience (Sklar 1990). Recently Ben Singer (1995b) has returned to the site of Allen's research, New York City, and found that nickelodeons were more prevalent in working-class neighbourhoods than Allen had indicated. Clearly this is an area of continuing debate, as recent exchanges between Allen and Singer indicate (Allen 1996; Singer 1996).

At issue, however, is more than the accurate description of the class make-up of New York neighbourhoods or the number of film theatres. The effect of class



The theatre of the masses—the nickelodeon

antagonism and class definition on early American cinema remains a vital issue. The work of social historian Roy Rosenzweig showed that the relation between film theatres and working-class culture cannot simply be dismissed as a sentimental myth of traditional historians. It is not necessary to attribute early American cinema to the domain of a single class. Rather, the most valuable approach sees cinema as one of the areas in which turn-of-the-century America defined class relations, culture, and dominance. Preliminary work by

J. A. Lindstrom (1996) on nickelodeons in Chicago centred less on attributing theatres to specific the than on the way film theatres inspired new system zoning and regulation, as leisure time and entail ment became an aspect of municipal control and struggle.

The history of film exhibition has become confidence in the history of film exhibition has become confidence in the history of American Cinema sections. It occupies make sections of the carefully researched and confidence volumes in the History of American Cinema sections.

(1990) and Eileen Bowser (1990) and is exemby the fine work of Douglas Gomery (1992), early cinema's vanguard position in framing tausung innovative questions in film history. Gre-Waller's (1995) work on exhibition in a smaller city, Kentucky, demonstrated the value in invesand exhibition contexts beyond the metropolis. wark also investigates African-American exhibition extrudience patterns, an area all too often ignored in and immigrant populations. Waller places early within pre-existing patterns of entertainment, eading not only vaudeville, but the multi-purpose eahouse, the amusement park, and local fairs. whe't Allen (1996) has theorized that such viewing stions in small-town and rural America were differestigors the urban nickelodeon in tems of class and , was indings.

the most broadly conceived attempt to theorize the spasis of the nickelodeon came in Miriam Hansen's (1991a) conception of the nickelodeon as a workinges public sphere. The concept of the public sphere Nas introduced by Jurgen Habermas's (1991) considaution of the rise of bourgeois democracy, in which contexts of public discussion—coffee-houses, se espapers, literary discussion groups—formed an of equitable exchange and reasonable debate. The public sphere provided Hansen with a historical gestel of the manner in which institutions and diswere created new forms of subjectivity quite different rom the ahistorical model of subject formation offered by apparatus theory. However, for Habermas, the claseal public sphere was almost immediately comproread by the rise of capitalism, which undermined the cam of a realm of free discussion divorced from econote power. Further, for Habermas, the modern comregalized technological forms of media have erously undermined the classical terms of debate d participation through techniques of manipulation and opinion management.

transen draws on critical reformulations of Haberset's concept. Emphasizing that the classical public
schere had always excluded certain groups (obviously
se working class, but also women), critics such as Negt
and Kluge (1993) developed the idea of oppositional
correlation public spheres. The key issue here is less
stillic discussion or overt political action than what
transen describes as the 'experience' of the particisents, 'that which mediates individual perception
with social meaning, conscious with unconscious processes, loss of self with self reflexivity' (Hansen 1991a:

12). Negt and Kluge claim the collective viewing of films, the way they could speak to viewers' experience, opened the possibility of cinema as an oppositional public sphere.

For Hansen this possibility became a historical tool for approaching not only the stylistic alterity of early films (as in her analysis of Porter's 1907 film *The 'Teddy' Bears*), but also its specific modes of exhibition and relation to its audience. Hansen theorized that early cinema may have provided 'an alternative horizon of experience' for groups excluded from the classical public sphere, such as working-class and immigrant audiences and women. Following the research of social historian Kathy Peiss (1986), Hansen showed that the nickelodeon moved away from a homosocial, gender-specific world of male entertainment which excluded women, to a heterosocial world of commercial entertainment where women not only attended, but frequently made up the majority.

The importance of cinema as a new public sphere for women has become a key issue in early cinema research, with such scholars as Lauren Rabinovitz (1990), Janet Staiger (1995), Judith Mayne (1990), Constance Balides (1993), and Shelley Stamp Lindsey (1996) exploring the role of female spectators and at points testing the feminist understanding of apparatus theory which saw the cinema as embodying a male gaze. While the patriarchal and even sexist content of early cinema is unquestionable (see such films as Thomas Edison's 1901 Trapeze Disrobing Act, or Porter's 1903 film The Gay Shoe Clerk), women patrons attending this new medium could transform these maleoriented films in unexpected ways, as in Hansen's famous example of the women who flocked to early boxing films, breaching a former male bastion.

For Hansen, early cinema's difference from classical cinema reflects its role as an oppositional public sphere, allowing viewer relationships that would become suppressed in the classical paradigm. The diversity of display evident in the cinema of attractions did not entice viewers to lose their sense of being present in a public space. The direct address of the cinema of attractions encouraged a recognition of the viewer as part of an audience, rather than as an atomized consumer absorbed into the coherent fictional world of the classical paradigm. The lack of devices channelling spectator attention into following a narrative meant that the cinema of attractions allowed its viewer more imaginative freedom. Further, the less controlled modes of nickelodeon exhibition, with live

music, occasional use of a lecturer, egalitarian seating, variety format, and continuous admission, gave it 'a margin of participation and unpredictability' (Hansen 1991: 43) lacking in classical cinema. The alternative public sphere of the nickelodeon gave way to the domesticating of audience behaviour within the elaborate picture palaces which became the premier show-place for films in the middle to late 1910s. This change in exhibition, along with the adoption of the classical paradigm in the feature film, eliminated most elements of earlier film culture in favour of a universal address to a film spectator unspecific in class or gender.

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## Periodization and transitional stages

However they might differ in dividing them up, scholars of early cinema agree that in a relatively short amount of time (two decades or so) so much change occurs that several distinct periods exist. This stands in stark contrast to the classical Hollywood cinema, which for Bordwell, Staiger, and Thompson (1985) remained

stable for more than four decades. The period of early cinema stretches from the origins of motion pictures in the late nineteenth century to around 1916. The year given by Bordwell, Staiger, and Thompson for the consolidation of the classical Hollywood cinema is 1917, so this end-date marks early cinema as pre-classical. Around 1913 to 1915 the American film industry moved definitively to the production of longer feature films (from one to several hours) as the new basis of the industry, exiling one- or two-reel films to marginal theatres, or to 'added attractions' in a feature programme. The middle 1910s witnessed new institutions (feature films, the star system, the picture palace, new studios, and systems of distribution) essential to the classical Hollywood cinema.

Exhibition, production, and distribution underwent a series of reorganizations in the two decades of early cinema'. Originally films and projection machines were produced by the same company, and these were offered to vaudeville theatres as a complete package. By the turn of the century, both films and machines were sold publicly, and entrepreneurs acquired them and became exhibitors, marking the first differentiation within the industry. Around 1905 the next essential differentiation occurred as exchanges appeared: middlemen who purchased films from production companies and rented them to exhibitors. This increased the availability of films to an exhibitor and led to the nick elodeon explosion. The multiplication of cheap thear tres showing new films on a daily basis created a demand for films the American producers could not initially fulfil, and the French company Pathé took up much of the slack. Around 1909 American producers attempted to seize control of the industry again, and submit the exchanges and exhibitors to a series of regulations. The organ for this was the Motion Picture Patents Company (MPPC), in which Edison and Biograph tried to exert control through their ownership of patents. Opposition to the MPPC arose with 'independent' producers, but even they soon adopted its methods of control over distribution through regulation of release dates and price schedules. By 1913 the power of the MPPC had waned, as well as the popularity of the one-reel film, replaced by longer feature films and the rise of new 'independent' companies, such as Universal, Famous Players in Famous Plays, and Mutual, Exhibition became dominated by large urban picture palaces, some of which were already owned by production studios, paving the way for the later vertical integration of the industry. While changes in film style cannot be neatly tied to all these changes, the volatile nature of the industry explains why there is probably more transformation in the way films were made and conceived (both by producers and audiences) in this period than in the rest of film history.

Changes in film style can be divided into periods partly in terms of the opposition between the cinema of attractions and narrative form. Like all binary oppositions, the contrast between attractions and narrative can lead to unfortunate simplification. These aspects should never be seen as mutually exclusive, but need to be dialectically interrelated. While there are films (particularly in the first decade of cinema) which function purely as attractions with no narrative structure, many early films (especially after 1902) show an interaction between the two aspects. I claim that the cinema of attraction works as a 'dominant' up to about 1905, employing forms of direct address, punctual temporality, and surprise rather than narrative development.

The concept of the dominant comes from the literary analysis of the Russian Formalists and has been applied to film by Kristin Thompson (1988). It recognizes that, though various elements might coexist in a work, one element may organize the others. In the classical style, nametive structures act as the dominant, so that, even though attractions persist (such as special effects, the physical attraction of stars, spectacular sets, or musical numbers), they are subordinated to a narrative structure. Likewise, although certain fairy films of Méliès or Pathé, such as A Trip to the Moon (1902) or The Red Spectre (1907) have stories, they basically serve as show-cases for the dominant attractions of camera tricks, costumes, elaborate sets, and stencil colouring. Certain early films, particularly from the years around 1903 to 1907 (such as Pathé's A Policeman's Tour of the World from 1906), appear as almost equal contests between the claims of attractions and narrative, veerins from one logic to the other. One basic arc of stylistic vansformation traces the increasing dominance of narnative structures, leading to structures that are clear terbingers of later classical forms. From 1906 more inswere made with narrative structures as their domirant. By 1908 films became increasing narrativized and Were provided with volitional characters. However, harrative is an expansive term, including many styles of storytelling. The difference that early films show when compared to films of the classical style should not be reduced simply to a contrast between narrative and non-narrative forms. Even the narrative films of this early period tell stories differently from the classical paradigm.

The non-classical narrative forms of early cinema make up a series of genres. Closest to the form of attractions are fragment narratives. This minimally narrative genre consisted of a single fragment or series of fragments, often famous moments from a play or famous events, to be completed by the viewer's understanding of previous (non-film) versions. Biograph's 1903 production of the famous temperance play Ten Nights in a Barroom consisted simply of five key scenes (or rather moments from the well-known play: Death of Little Mary; Death of Slade; The Fatal Blow; Murder of Willie; and Vision of Mary)—to someone unfamiliar with the play these brief films would be incomprehensible. Such fragments could be more or less incomplete. The versions of the Passion play produced both in the United States and France showed the range of possibilities, from early discontinuous and highly fragmented films to later, nearly narratively coherent, versions. In their lack of temporal development the fragment narratives are close to attractions.

Perhaps the earliest complete narrative form was the gag, the brief visual joke, often centred around physical pranks, which had a minimum essential narrative development: a set-up for the gag and a pay-off as the gag (usually some minor disaster) takes place, creating the fundamental narrative roles of prankster and victim. Early American companies produced scores of such films, and a few titles from American Mutoscope and Biograph in 1903 give some sense of their flavour: How Buttons Got Even with the Butler; Pulling off the Bed Clothes; You will Send me to Bed, Eh? Their disaster structure gives them a brief and punctual temporality—like an exploding cigar—as well as an often highly visual pay-off which makes them resemble attractions. In the period of multi-shot films, Edison

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For Hansen, early cinema's difference from classical cinema reflects its role as an oppositional public sphere, allowing viewer relationships that would become suppressed in the classical paradigm. The diversity of display evident in the cinema of attractions did not entice viewers to lose their sense of being present in a public space. The direct address of the cinema of attractions encouraged a recognition of the viewer as part of an audience, rather than as an atomized consumer absorbed into the coherent fictional world of the classical paradigm. The lack of devices channelling spectator attention into following a narrative meant that the cinema of attractions allowed its viewer more imaginative freedom.

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However they might differ in dividing them up, scholars of early cinema agree that in a relatively short amount of time (two decades or so) so much change occurs that several distinct periods exist. This stands in stark contrast to the classical Hollywood cinema, which for Bordwell, Staiger, and Thompson (1985) remained

stable for more than four decades. The period of asticinema stretches from the origins of motion pictures the late nineteenth century to around 1916. The year given by Bordwell, Staiger, and Thompson for the cosolidation of the classical Hollywood cinema is 1917, at this end-date marks early cinema as pre-classical Around 1913 to 1915 the American film indices moved definitively to the production of longer feature films (from one to several hours) as the new basis of the industry, exiling one- or two-reel films to marginal theat tres, or to 'added attractions' in a feature programme. The middle 1910s witnessed new institutions (feature films, the star system, the picture palace, new studios and systems of distribution) essential to the classical Hollywood cinema.

Exhibition, production, and distribution underwess a series of reorganizations in the two decades of learn cinema'. Originally films and projection machines were produced by the same company, and these were offered to vaudeville theatres as a complete package By the turn of the century, both films and machines were sold publicly, and entrepreneurs acquired them and became exhibitors, marking the first difference tion within the industry. Around 1905 the next essential differentiation occurred as exchanges appeared mich dlemen who purchased films from production compa nies and rented them to exhibitors. This increased the availability of films to an exhibitor and led to the nucleon elodeon explosion. The multiplication of cheap the tres showing new films on a daily basis created demand for films the American producers could it initially fulfil, and the French company Pathé took w much of the slack. Around 1909 American producers attempted to seize control of the industry again, and submit the exchanges and exhibitors to a senes of regulations. The organ for this was the Motion Picture Patents Company (MPPC), in which Edison and Bio graph tried to exert control through their ownership of patents. Opposition to the MPPC arose with indepen dent' producers, but even they soon adopted its melliods of control over distribution through regulation of release dates and price schedules. By 1913 the power of the MPPC had waned, as well as the popularity of the one-reel film, replaced by longer feature films and the rise of new 'independent' companies, such as Univer sal, Famous Players in Famous Plays, and Mutual Extra bition became dominated by large urban picture palaces, some of which were already owned by pro duction studios, paving the way for the later vertical integration of the industry. While changes in film style

and Biograph reworked such gags into longer films, as a bad boy or other trickster carried out a series of practical jokes (*The Truants*, Biograph, 1907; *The Terrible Kids*, Edison, 1906). This form of concatenation led to another simple narrative form, which I have called 'linked vignettes', consisting of a series of brief gags linked by a common character (Gunning 1994b).

As Burch and others have pointed out, the first extended self-contained narrative form in film was the chase. Burch (1990) saw the linearity of the chase as an anticipation of later classical narratives. In its earliest examples (The Escaped Lunatic, 1903; Personal, 1904, both Biograph) the chase created a continuous fictional space, rendered coherent by its methodical following of a single physical action. While chases often included attractions (such as dogs leaping fences and swimming streams, or ladies revealing legs as they slid down a hill), a single-minded focus on a pursuit through several shots created a new narrative dominance. However, unlike later classical films, the chase remained dependent entirely on physical action for its narrative structure. Figures running through various locales created the continuous geography of the film. The initiation of a pursuit provided the inciting incident of the film and capture marked its completion. This picks out a decidedly non-classical aspect of early film narrative, its lack of characterization or motivation behind action.

Around 1906 a number of films attempted stories with a greater degree of character and less physical action (such as Edison's The Miller's Daughter, 1905, or Fireside Reminiscences, 1908). Contemporary comments leave no doubt that many character-based films of this era were obscure to their contemporaneous audiences. Basic codes for conveying thoughts and emotions had not yet been devised by filmmakers, nor were they understood by audiences. Perhaps the greatest transformation of early film style came with the adoption of new narrative codes which conveyed character motives and organized storytelling devices. To some extent, this shift in narrative style parallels the attempts to regulate and rationalize the film industry which culminated in the formation of the MPPC in 1908 (Gunning 1991a). This large-scale transformation of American filmmaking has frequently been referred to as the 'transitional' period, marking its mediation between the radically different earlier cinema and the establishment of the classical paradigm. Narrative in the transitional period obeyed new rules: interior coherence (lack of reliance on audience foreknowledge or other extra-filmic aids); a strong narrative closure; and, especially, an emphasis on characterization, frequently building stories around changes in character or key decisions whose motivations are indicated within the film. Many of the Griffith one-reddramas produced for the Biograph company display these qualities (such as *The Drunkard's Reformation* 1909), as do the films produced by the Vitagraph Company (such as *An Official Appointment*, 1912, so well analysed by Ben Brewster (1991a)). This form differsharply from the earlier forms based primarily in physical action, although many films united the two forms (including Griffith's Biograph melodramas, such as *The Lonedale Operator*, 1911).

However, this transitional period remained volatile and ambivalent, as the term suggests. While new narrative structures were evident in many films (particularly dramas from the Vitagraph, Biograph, and Edison studios), and were praised by trade journals devoted to the film industry (which began to appear around this time), variation occurs. Research by both Ben Singer (1993) and Charles Keil (1995) has stressed that the most advanced films by Griffith are not typical of the period. Films even as late as 1913 sometimes show uncertainty in conveying character psychology of even a coherent plot. Singer (1993) cites an episode from the Thanhouser Company's 1913 serial Zudorans an example of pure incoherence.

While actual achievements varied from studio to studio (or film to film), organizing films around dear stories and motivated, volitional characters was, north the less, an acknowledged value in this period, O course, action genres like westerns and other sensational films still showed the importance of non-name tive attractions, but these were largely absorbed into character-driven plots. At the same time, while the narrative integration of the transitional period certains, looks forward to the later classical style, it maintained unique style. Ben Brewster (1991b) and Charles Kei (1995) have stressed that the one-reel film standard of this period demanded narrative compression and encouraged patterns of recurrence. While these aspects are not contradictory to the classical style. they seem more endemic to short films than to see tures. Brewster (1991b) has pointed out that early 100 tures, such as the scandalous Traffic in Souls from 1913. often reflected the patterns of individual reels in their structure (partly due to the fact that many theatres owned only one projector, necessitating a pause between reels). Indeed, one of the earliest long film

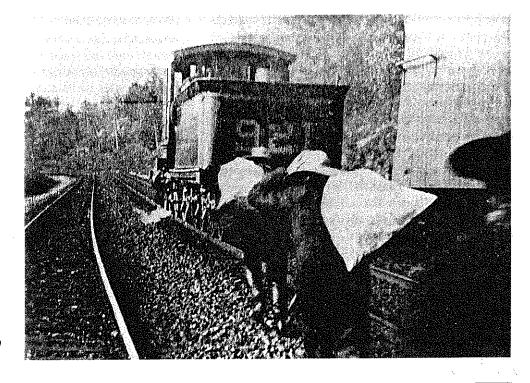
what Happened to Mary), literally spun out Mary), literally spun out what Happened to Mary), literally spun out which is were seen by reel, as single-reel instalments were medevery week. The serial, with its strong emphasisming attractions, its often rather incoherent may, and its compromise between the single reel in the feature structure, may, as Singer (1993) claims, and as an emblem of the often contradictory wises of the transitional period.

the transitional period corresponds to an attempt pring order and regularity to film production and regularity dubious practices, the US courts decided when they ruled against the section and artitrust action in 1914), how does this new exhibition and audience? A number of scholars, result included (Gunning 1990), see the cinema of rative integration as an element in a concerted stanpt to attract a middle-class audience and gain sepectability for the cinema. Production companies depted literary classics, while filmmakers devised semantic codes to tell stories of the type familiar middle-class forms like the short-story magazine, reparently with such audiences in view.

However, this view of the bourgeoisification of crema during the transitional period can be exaggerated, particularly if one relies on trade journals, whose desire for the imprimatur of respectability led them to

exaggerate the number of middle-class patrons attending movies or the comfort and order of theatres. Careful reading of trade journals and industry publicity reveals a strong desire to retain working-class patrons, while the emphasis on signs of middle-class approval partly served to allay the attacks of reformers suspicious of the new form, rather than indicated real conditions. The only existing survey of film audiences indicates that in New York City the working class still made up nearly three-quarters of the audience in 1910, while a category called 'clerical', referring most likely to office workers (i.e. a newly emerging lower middle class), constituted most of the other quarter (Davis 1911; Singer 1996). However, small-town audiences may have had a different composition, as Allen (1996) stresses.

William Uricchio and Roberta Pearson's (1993) investigation of Vitagraph's 'quality films'—adaptations from Dante or Shakespeare (Francesca di Rimini, Julius Caesar, both 1908), or films on cultural figures such as Napoleon or Moses (Napoleon, the Man of Destiny, 1909; The Life of Moses, 1910)—found that while such films aimed at attracting an audience who might scorn typical nickelodeon fare, they were also carefully designed to be accessible to the working-class audience most exhibitors relied upon. This 'dual address' seems typical of this period and should alert us to the dangers of seeing the bourgeoisification of the cinema



A model for future westerns—The Great Train Robbery (1903)

at the end of early cinema as an established fact without complexity or resistance. The transitional period appears to be less a gradual fade into the classical paradigm than a period of ambivalence and contestation.

# Early cinema and modernity

The study of early cinema has consistently expanded its area of investigation. Research into the exhibition of early films extended into a consideration of audiences and the role the nickelodeon played in American society. Uricchio and Pearson (1993) found that determining what audience producers aimed for, or how widely films were comprehensible to different classes, called for an investigation of the intertextual framework in which images of Napoleon or scenes from Shakespeare circulated outside cinema, from school textbooks to advertising cards.

Perhaps the most far-reaching (and possibly most controversial) extension of the study of early cinema relates techniques of early film, particularly the cinema of attractions, to large-scale transformations of daily experience in the era of urbanization and modernization. This approach draws inspiration from Walter Benjamin (1969) and Siegfried Kracauer (1995) as well as Miriam Hansen's (1987, 1991b, 1993, 1995) discussion of these authors' writings on the cinema. Benjamin, writing in the 1930s, related the shock of the rapidly changing experience of the urban environment and new technology to cinematic techniques, such as rapid montage, slow or fast motion, and huge close-ups. Kracauer, writing in the later 1920s, found that the visual stimulus of the picture palace captured the mechanization and surface character of the modern life as the pursuit of distraction. In my writings (Gunning 1994a, c, 1995b), I have claimed that Benjamin's and Kracauer's analyses could be used to describe the cinema of attractions with its aggressive viewerconfronting address and discontinuous structures.

Early films dealing with the railroad provide a powerful intersection of the aggressive address of the cinema of attractions and the technological transformations of modern life. The many early films taken from trains of the passing landscape (e.g. Biograph's Into the Heart of the Catskills, 1906) and the Hales Tours exhibition of films in theatres designed to imitate railroad cars (including sound effects and ticket takers) reveal early cinema's affinity with the railroad. Lynne Kirby's (1996)

work on this subject, as well as works by Mary Arm Doane (1985) and myself (Gunning 1994a, 1995. drew on the work of a contemporary Benjaminian Wolfgang Schivelbusch, whose book The Railway Journey (1977) claimed that the experience of railway travel, with its speed and potential danger, was emble matic of modern perception. In films shot from moving trains Kirby found a fascination with what Schivelbusco calls 'panoramic perception', a view of the world in motion through a window or other framing device. The shocklike structure of the abrupt transitions and often aggressive imagery of the cinema of attractions also reflected for Kirby the sense of hysteria which the fear of the railway accident brought to modern consciousness. Eileen Bowser (1995), Yuri Tsivian (1994), and Gunning (1991b) have made a similar case for the telephone in early cinema, knitting together distant spaces and creating new dramatic situations.

Following Walter Benjamin's example, writers on early cinema have isolated a number of emblematic instances of modernity besides the railway and the telephone: the World Expositions, the department store, the city streets, the diorama and panciers. urban billboards. Anne Friedberg (1993) has related number of these to the 'mobilized virtual gaze', the heightened involvement of a viewer in a visual illusor combined with motion which she sees as essential not only to the pre-history of cinema (in devices like the diorama and panorama), but also to the subjectivity of modernity. My writings (Gunning 1994a, b) have emphasized that such relations are embedded in the way early films embraced modern technology or new environments (such as the World Expositions of the amusement park) as subjects for films (Porter's Const Island at Night, 1905; Biograph's Panorama St Louis Exposition, 1904). Ben Singer (1995b) has detailed how the most aggressive aspects of the cinema of attractions reflected both the experience of urban in with its threats and danger, and its portrayal in the sensationalist press. Lauren Rabinovitz's (1990) research on Chicago amusement parks sees these mechanized forms of amusement as another example of accelerated modern experience with a stong relation to early cinema, focusing as well on the way arms ment parks shed light on female subjectivity, an issue central to many investigations of modernity, including the work of Hansen (1991a), Friedberg (1993), Bruss (1993), and Singer's (1995a) work on the serial ques the powerful woman protagonist of the films of the

grai genre, such as Pathé's The Perils of Pauline, from

Eminist theory has provided a key motive for these management of the management o the important project of bringing to light the succeed and often suppressed role of women in American history. One could claim that feminist film in the late 1970s both adopted the subjectivity the apparatus theory of cinema and supplied its est radical critique. Laura Mulvey (1975) pointed within this theory ed as exemplified by classical Hollywood cinema stocked a male gaze. If this were so, not only did it expinalize and problematize female subjectivity, but was traced a basic fissure in the theory's universal game if one had to conceive the subject, not as a garonic entity, but as a gendered being. This introducgoe of gender difference opened the flood gates for econceptualization of the film spectator open to gatory and the play of gender and ethnic differ-While an attempt to reconcile this historical and illural investigation of spectatorship with the asamptions of apparatus theory may encounter contradictions in method, the historical investigation elearly cinema and modernity has sketched a model of rrore fluid concept of subjectivity, along the lines of wansen's (1991a) treatment of the public sphere of wiv chema as providing a ground for processing new experiences.

Art historian Jonathan Crary provides one of the most far-reaching theories of the relation between modernity and historical subjectivities. Crary (1990) westigates psychological theories and accounts of

While an attempt to reconcile this historical and cultural investigation of spectatorship with the assumptions of apparatus theory may encounter contradictions in method, the historical investigation of early cinema and modernity has sketched a model of a more fluid concept of subjectivity, along the lines of Hansen's treatment of the public sphere of early cinema as providing a ground for processing new experiences.

the physiology of perception of the nineteenth century (such as those of Helmholtz and Fechner), claiming that these new models of perception switched focus from the accurate reflection of exterior phenomena to the physiology of the senses. This view found support in the perceptional illusions that optical devices, such as the phenakistiscope and the stereoscope (which are often seen as precursors to the cinema), make visible, but which do not actually exist other than in the observer's sensorium. Crary claims that the breakdown of representation in painting associated with modernism has its roots in this earlier technological and philosophical modernization of vision. Closer to Foucault than to apparatus theory, Crary sees subject formation as a historical process inscribed in techniques and institutions specific to different periods. He locates a major shift in the conception of visuality in the modern period. Although Crary discusses early cinema only in passing, his insights provide a basis for the historicization of perception and visual experience.

What has been termed the 'modernity thesis' has recently been subjected to serious criticism, particularly by David Bordwell (1996a, b). As a cognitivist, Bordwell finds a 'history' of vision, perception, or experience a dubious concept, vague at best and absurd at its most extreme. 'It is highly unlikely that visual perception has changed over recorded human history,' he claims (1996: 23). Bordwell finds that the ultimate failure of the modernity thesis lies in its dubious attempt to tie stylistic aspects of early cinema to modern experience. Developing an objection also raised by Charles Keil (1995), Bordwell asks how one can relate the fragmentary, aggressive form of the cinema of attractions to abrasive modern experience in the street or to new modes of transportation, since these aspects of modernity continued, or even increased during the transitional period, which subordinated the more aggressive aspects of attractions to the coherence of narrative integration.

In many respects such criticism is well taken, but it may reflect irreconcilable positions about the nature of history and experience. Bordwell is aware that no theorist of modernity could responsibly claim a transformation in the perceptual hard wiring of human beings, so some of his objections seem to be based on a disingenuous reductio ad absurdum. However, there is no question that terms such as 'experience' or even the use of the word 'perception' remain in need of greater precision and discussion. Crary (1990: 6) states: 'Whether perception or vision actually change is ir-

relevant, for they have no autonomous history. What changes are the plural forces and rules composing the field in which perception occurs.' Thus what needs to be made more precise are the social mediations of experience, observable not only in works of art, but in the scientific and political discourse of the period.

Bordwell's contention that the experience of modemity remains irrelevant to the history of film style is more complex. There is no question that the relations drawn between the structures of modernity and those of early film frequently lack specificity and remain on the level of vague analogies. However, in tying the pace and abruptness of early films to modern experience, contemporary critics are not so much inventing an analogy as rediscovering one. Such connections were frequently made by the first commentators on the cinema, who recognized in the new media an experience related to modern city life. As a fact of discourse this is an important element of the history of film reception, one worth careful research and consideration. Bordwell's and Keil's claim that the modernity thesis cannot explain stylistic change is probably correct, but seems to defeat a claim that no scholar of early cinema ever made. The relations between modernity and early film need not be limited to the cinema of attractions. The thrill melodramas of the transitional period, such as Griffith's last-minute rescues in such films as The Lonely Villa, 1909, and The Lonedale Operator, 1911 (with their use of modern technology such as the telephone, the railway, and the telegraph to convey a new sense of urgency and danger), are prime examples of early film's relation to modernity. Reference to the broader contexts of modernity cannot, and does not desire to, explain everything. Changes in film style derive from many immanent causes: changes in technology, industry realignment, cycles of innovation and canonization, as well as transformations in film's relation to society—relations, I should add, that are fully mediated and traceable in contemporary discourse, and not a matter of a mystical reflection of a Zeitgeist.

# Topics for further research

While the history of early cinema in the last two decades has seen a sudden growth that almost recalls the nickelodeon explosion, with many more scholars making important contributions than can be included in this summary, there are still many issues to explore. Many of these, such as the relation between social class

and the nickelodeon, or the validity of the relation of early cinema to modernity, have already been a cussed. I want briefly to add some others. Since \* chapter treats early American cinema, I have not care with scholarship on early cinema in other countries While the United States has served as a key area of investigation, it is hard to conceive of early ciner. history without the work done on early French chan by a large number of scholars in France as well as the United States, and increasingly in Italy, Germany, Back ain, Denmark, Sweden, and Russia, as well as work as film production and exhibition outside Europe and the United States. The period of early cinema marks at the when films circulated freely across borders and which the concept of a national cinema was largely unarticulated. Richard Abel's recent research (1995) on the effect of the French production company Pathe on American cinema shows that to examine even American cinema within a narrowly national contest leads to distortion. Since Pathé films were the moswidely shown and most successful films exhibited a the United States at the beginning of the transitional period (1906–9), Abel's claim that they had a definitive effect on the development of American film seems unquestionable. Pathé's early experiments in paralle editing certainly influenced Griffith's development # this technique at Biograph, as the comparison of Pathé's Physician of the Castle (1908) and Griffith's The Lonely Villa (1909) undertaken by both myself (Gunning 1991b) and Barry Salt (1985) demonstrates In the transitional period the American film industry tried to define and produce an 'American film'in come sition to Europe, a goal that matched the MPRCS attempt to marginalize European producers. The construction of national cinema cultures began in carri cinema and calls for more research.

An area of relative neglect in the study of the early cinema is non-fiction filmmaking. While this has gained more attention from European scholars such Stephen Bottomore (1988) and the archivists at the Nederlands Filmmuseum (Hertogs and De Kiers 1994), it remains in need of more research and the zation from a US perspective. Until about 1905 the last of American production was non-fiction films, but these have not received the investigation that refiests their importance in this period.

The transitional period needs more research Because of its limited focus my work on Griffith as Biograph during this period, while setting up issue of broad concern, cannot serve as an account of the period in the US generally. Charles Keil's (1995) groader-based survey of the transitional period should answer a number of questions about the econiques of narrative integration. Even more neglected is the end of the transitional period, the of early features. Perhaps the most important on being produced about this era comes from gen Brewster and Lea Jacobs's (1997) thorough discussion of early cinema's relation to theatrical practice. Although not restricted to the United states, this work traces the often surprising degree which theatrical practice (including performance wie, lighting techniques, and sensation scenes) inspired early feature films, while also undergoing grong transformations. Rather than repeating the mple account promulgated by Nicholas Vardac 1949), of cinema taking up the visual tradition of uneteenth-century theatre, Brewster and Jacobs tell much more nuanced and detailed story of crossnedia influence. The date that Bordwell, Staiger, and Thompson selected for the beginning of the dassical Hollywood cinema—1917—still seems a reasonable one for the period in which most Amercan films show a mastery of the basic codes and conventions of fiction filmmaking. However, the selection of this date, several years after feature tilms had become the basic product of the American film industry, acknowledges that the early feature period itself saw a gradual spread of the codes of classical narration as well as competing alternatives. Further research on early features will undoubtedly find a number of stylistic approaches in terms of reliance on editing versus deep staging and the relative importance of intra-scene editing versus parallel editing. But by the end of the teens a basic narrative vocabulary is in place meriting Bordwell, Staiger, and Thompson's term 'classical Hollywood

Early cinema remains an area which grapples with crucial issues of film study. Besides providing a clearer picture of the earliest era of our medium through new research and historical models, the investigation of early cinema continues to explore and redefine encounters between spectator and screen, audience and film, cinema and social context. From the energy generated by such debates, early cinema has demonstrated that film studies still engages vital issues, and that cinema stands at the core of our understanding of the modern world.

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